

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Consumer Protection and Safety Division  
Rail Transit Safety Section

Resolution ST-75  
March 17, 2005

**RESOLUTION**

RESOLUTION ST-75 GRANTING APPROVAL OF A REPORT ON THE  
2004 SAFETY AUDIT OF THE LOS ANGELES COUNTY  
METROPOLITAN TRANSPORTATION AUTHORITY (LACMTA)

**Summary**

This resolution approves the Consumer Protection and Safety Division (CPSD) Rail Transit Safety Section (RTSS) final audit report titled, "TRIENNIAL ON-SITE SAFETY AUDIT OF THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY," dated January 14, 2005. LACMTA is ordered to implement the recommendations contained in the report and to provide quarterly progress reports to the RTSS.

**Background**

Commission General Order No. 164-C, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires staff to conduct on-site safety reviews of transit agencies operating Rail Fixed Guideway Systems at least once every three years.

RTSS staff conducted an on-site safety audit of LACMTA and its safety program beginning June 14 and concluding June 25, 2004. Staff conducted a post-audit exit conference with LACMTA management on Thursday June 24, 2004.

The methods used to conduct the audit included:

- a. Interviews with LACMTA employees at all levels from rank and file through LACMTA management;
- b. Reviews of design, construction, procurement, testing, training, operation and maintenance programs;
- c. Reviews of records and procedures;
- d. Observation of operations and maintenance activities and;

e. Inspections of equipment and facilities;

A full description of the audit, including the scope, results, and recommendations, is contained in the final audit report, which is attached to this resolution as Attachment A.

The results of the audit show that LACMTA is in substantial compliance with its System Safety Program. However, some program deficiencies were identified during the audit. These deficiencies, along with recommendations for corrective actions, are described where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report. A complete listing of recommendations for the correction of each identified deficiency is contained in the report Appendix F.

### **Protests**

Staff provided a preliminary report of the audit to LACMTA for a 30-day review and comment period on October 14, 2004. Staff met with LACMTA Rail Operations Safety Department and resolved most issues regarding the report on November 9, 2004 and January 6, 2005. LACMTA provided a comment letter of the audit report to staff on November 15, 2004.

### **Discussion**

The final report, "TRIENNIAL ON-SITE SAFETY AUDIT OF THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY," dated January 14, 2005, is included in Attachment A. It is based on staff findings and includes staff recommendations.

LACMTA has stated to staff that it is working to develop corrective action plans for the deficiencies identified in the report and has initiated actions on some plans. LACMTA will provide regular quarterly updates concerning the status of its corrective actions until they are completed.

CPSD recommends that the Commission approve the RTSS final audit report titled, "TRIENNIAL ON-SITE SAFETY AUDIT OF THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION

AUTHORITY," dated January 14, 2005. CPSD also recommends that the Commission order LACMTA to:

- Submit a report to the RTSS, which includes the status of the corrective actions for safety program deficiencies identified during the audit;
- Submit plans and schedules for implementing or completing the recommended corrective actions contained in the report;
- Implement the recommendations in accordance with the plans and schedules submitted, and;
- Provide the RTSS with quarterly reports on the status of the corrective actions until they are completed and implemented.

### **Comments**

Public Utilities Code section 311(g) (1) provides that this resolution must be served on all parties and subject to at least 30 days public review and comment prior to a vote of the Commission.

Resolution ST-75 was mailed to LACMTA on January 26, 2005.

### **Therefore, IT IS ORDERED that:**

1. The Consumer Protection and Safety Division's request for approval of the RTSS final audit report titled, "TRIENNIAL ON-SITE SAFETY AUDIT OF THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY," dated January 14, 2005, is granted.
2. LACMTA shall submit to the RTSS plans and schedules for implementing all recommended corrective actions contained in the final audit report. Those plans and schedules shall be submitted no later than 45 days from the effective date of this resolution.
3. LACMTA shall complete and implement all recommended corrective actions contained in the report, in accordance with plans and schedules submitted to the RTSS.
4. LACMTA shall prepare and provide quarterly status reports, beginning in April 18, 2005, to the RTSS. The reports shall contain detailed

information on the implementation of all remaining recommended corrective actions contained in the final audit report. Quarterly status reports shall continue to be provided until all recommended corrective actions are completed and implemented.

5. This resolution is effective today.

I certify that this resolution was adopted by the Public Utilities Commission at its regular meeting held on March 17, 2005. The following Commissioners voting favorably thereon:

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STEVE LARSON  
Executive Director